PTO/SB/17 (12/99)
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# AMENDMENT FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision,*
*Small Entity payments __must__ be supported by a small entity statement,*
*otherwise large entity fees must be paid. See Forms PTO/SB/09-12.*
*See 37 C.F.R. §§ 1.27 AND 1.28*

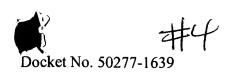| | |
|---|---|
| **Complete if Known** | |
| Application Number | 09/775,886 |
| Filing Date | 2/02/01 |
| First Named Inventor | Garret Minakawa |
| Examiner Name | Not yet available |
| Group/Art Unit | Not yet available |
| Attorney Docket No. | 50277-1639 |

| TOTAL AMOUNT OF PAYMENT | ($) 0.00 |
|---|---|

## METHOD OF PAYMENT (check one)

1. [X] Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number: 50-1302

Deposit Account Name: Hickman Palermo Truong & Becker, LLP

2. [X] Payment Enclosed:
   [X] Check  [ ] Money Order  [ ] Other

3. [X] Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 101 | 710 | 201 | 355 | Utility filing fee | |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| | | | | SUBTOTAL (1) | ($) 0.00 |

### 2. EXTRA CLAIM FEES

| | Highest Paid Claims | Extra Claims | Fee from Below | Fee Paid |
|---|---|---|---|---|
| Total Claims | 26 -22= | 4 | X 18.00 = | 72.00 |
| Independent Claims | 6 - 4= | 2 | X 80.00 = | 160.00 |
| Multiple Dependent | | | = | |

**or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description |
|---|---|---|---|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

| SUBTOTAL (2) | ($) 232.00 |
|---|---|

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Fee ($) | Small Entity Fee Code | Fee ($) | Fee Description | Fee Paid |
|---|---|---|---|---|---|
| 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 300 | 219 | 150 | Notice of Appeal | |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | |
| 121 | 260 | 221 | 130 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,210 | 241 | 605 | Petition to revive – unintentional | |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 215 | Design issue fee | |
| 144 | 580 | 244 | 290 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | | | Other fee (specify) _____ | |
| | | | | Other fee (specify) _____ | |

*Reduced by Basic Filing Fee Paid

| SUBTOTAL (3) | ($) 0.00 |
|---|---|

### SUBMITTED BY

| Name (Print/Type) | Marcel K. Bingham | Registration No. (Attorney/Agent) | 42,327 | Telephone | (408) 414-1080 |
|---|---|---|---|---|---|
| Signature | | | | Date | April 10, 2001 |

**WARNING:** Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Amend, Commissioner for Patents, Washington, DC 20231.

OID-1997-53-04CON

Docket No. 50277-1639

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

In re application of:                                    Group Art Unit No.:  NYA

  Garret Minakawa, et al.                                Examiner: NYA

Serial No.: 09/775,886

Filed on: 2/02/01

For:    APPLICATION CODE CONVERSION
        ARCHITECTURE


Box Amend
Commissioner of Patents
Washington, D.C.  20231

PRELIMINARY AMENDMENT AND REMARKS

Sir:

Please amend the application by adding claims 23 - 26.

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1    23.    (New) A method for converting code values between applications, the method comprising

2           the steps of:

3           storing first data that associates a plurality of code conversion rules with a field defined by

4                  an application;

5           receiving an application code associated with said field;

6           selecting, based on said first data, a single code conversion rule from said plurality of

7                  code conversion rules; and

-1-

OID-1997-53-04CON